



Commonwealth of Massachusetts
Executive Office of Environmental Affairs
Department of Environmental Protection
Bureau of Waste Prevention - Business Compliance Division
Precious Metals Transporter License
REGULATED RECYCLABLE MATERIAL (CLASS B (4))

Important: When filling out forms on the computer, use only the tab key to move your cursor - do not use the return key.



1. Name of Licensee/Permitee:

Name

2. Office Address:

Street Address

City

State

Zip Code

3. Mailing address, if different:

Street/PO Box:

City

State

Zip Code

4. Contact person:

Name

Telephone #

5. EPA Identification number and address:

EPA I.D. Number

Address - On EPA I.D. Number Form

6. Signature:

Signature

Date

Name

Official Title

This license is valid until the effective date and unless signed by the Applicant and the Director of the Business Compliance Division (Bureau of Waste Prevention, Massachusetts Department of Environmental Protection).

Do not write below this line - for DEP use only

The Department hereby grants the above-named company a license to transport class B(4) regulated recyclable material pursuant to M.G.L. Chapter 21C and Massachusetts Hazardous Waste Regulations 310 CMR 30.000. The license becomes effective 21 days after the date of signature on the license by the Director of the Business Compliance Division, unless during that time an appeal has been received by the Department. In that case, the license is not in effect until, and if, the appeal is resolved in favor of the license applicant.

This license authorizes only the named licensee to engage in the transportation of class B (4) regulated recyclable material listed or described in 310 CMR 30.213(4). The licensee is authorized to transport regulated recyclable materials pursuant to M.G.L. Chapter 21C and 310 CMR 30.200, including 310 CMR 30.270 – "Requirements Governing Class B (4) Regulated Recyclable Material". This license is not transferable. This license does not grant any rights not otherwise granted by federal, state, or local statutes, ordinances, or regulations. The licensee shall comply at all times with all state and federal regulations and statutes applicable to the transportation of hazardous waste.

Director, Business Compliance Division

Date

Effective Date

Expiration Date

Massachusetts License Number

Massachusetts Precious Metals Transporter License Number



Massachusetts Department of Environmental Protection
Bureau of Waste Prevention – Business Compliance Division

BWP HW 06

Transmittal Number # _____

License to Transport Class B (4) Regulated Recycled Materials (RRM)

Permit Application Forms

This file contains a series of forms - Transporter License, BWP HW 06 Application Form, Department of Communications and Energy (DTE) Approval Letter, Motor Carrier Liability Endorsement (MCS90), and Tax Certification Form - compiled in Microsoft Word that can be filled out electronically. Some of these materials must be completed and returned to DEP or forwarded to third parties such as local newspapers, boards of health, or other federal and state agencies as part of the BWP HW06 application process. Please consult the BWP HW06 Instructions and Supplemental Materials for full instructions.

A. General Information

1. Applicant Information:

The applicant is the firm or individual that wants or is required to obtain a DEP approval or determination.

Legally Responsible Official

Company Name

Street Address/PO Box

City/Town

State

Zip Code

Telephone Number

e-mail address (optional)

2. Preparer Information:

If prepared by the applicant, enter "same as #1," and move on to item 3, otherwise please complete all items.

Individual Preparing This Application

Company Name

Street Address/PO Box

City/Town

State

Zip Code

Telephone Number

e-mail address (optional)

3. Facility or Regulated Activity:

DEP or FMF Facility ID # (if known)

DEP or FMF Facility ID # (if known)

U.S. DOT #

EPA ID # (permanent or, if pending, temporary)

B. Locations

1. Business Addresses:

List all Massachusetts transporter related business addresses. Use additional sheets if necessary.

Business Location #1

Business Location #2

Business Location #3



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B. Locations (cont.)

Specify all locations in Massachusetts where vehicles used to transport Class B(4) Regulated Recyclable Material Precious Metals will be parked. Indicate the number and type of vehicle(s) to be parked at each location. (Use additional sheets if necessary)

2. Parking Locations:

Parking Location #1

Number and Type(s) of Vehicles to be parked here

Parking Location #2

Number and Type(s) of Vehicles to be parked here

Parking Location #3

Number and Type(s) of Vehicles to be parked here

Parking Location #4

Number and Type(s) of Vehicles to be parked here

Parking Location #5

Number and Type(s) of Vehicles to be parked here

C. Current and Proposed Activities & Applicant Qualifications

1. Summary of Activities:

Describe the recyclable material to be transported and its waste code.

Note: The waste code for materials containing silver, such as spent photography fixer, is D011.

Provide a complete description of the applicant's qualifications and experience in managing and operating a firm recycling regulated materials (for example, resumes including education, years in the business related experience).

Include a list of states in which your firm holds RRM permits. (Use additional sheets if necessary).

2. Summary of Qualifications:



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C. Current and Proposed Activities & Applicant Qualifications (cont.)

Estimate the approximate quantities transported annually in pounds or gallons.

3. Waste Estimates:

Estimate of total solids (in Pounds)

Estimate of total liquid (in U.S. Gallons)

4. Receiving Facility Information:

Provide the name and address of the facility or facilities that will receive the recyclable material. Also describe the state or federal license, permit, or authorization that allows the facility to receive the material.

5. Storage Prior to Recycling:

Describe storage prior to recycling, if any, and steps taken to ensure that speculative accumulation of the recyclable material does not occur.

D. Information on Vehicle Used to Transport Recyclable Material

1. Marking and Placarding:

Describe the steps taken to ensure that vehicles are properly marked or placarded according to any applicable statutes or regulations, including any applicable U.S. Department of Transportation regulations.



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D. Information on Vehicle Used to Transport Recyclable Material (cont.)

2. Information available in the cab of vehicles:

Describe the steps to be taken to ensure that information identifying the owner and operator of the vehicles is available in the cab of such vehicles.

E. Criminal Record

See 310 CMR 30.813(3).

Has any applicant/licensee or any officer, director, trustee, partner, or any person holding greater than 5% equity (or 5% liability) or any key staff individual (i.e., manager or supervisor) of the applicant/licensee ever had been convicted of a felony?

☐ Yes

☐ No

Dates of pleas or convictions

Description of charges and/or convictions

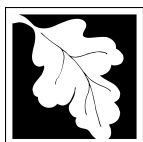
Pending charges, if any

Court(s) where charges were brought

Sentence(s) imposed, if any

F. Confidentiality Request

You may request in writing that the Department keep confidential part or all of any documentary material or data submitted to the Department if such material or data, if made public, would divulge a trade secret. You are advised to read 310 CMR 3.00 carefully before making such a request because only certain material or data may properly be the subject of a request for confidentiality.



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G. Transporter Data Sheet (attachments to be submitted with the application)

Each application for a license to transport hazardous waste must include the following 12 informational attachments. The required information is described briefly below and in some cases, samples of the required information are included with this application. Applicants are advised to read the regulations listed to be sure that all the necessary information is included.

To speed review of your permit application, please observe the following procedures.

Hints in preparing attachments:

1. Organize Your Application; Arrange your application attachments in the same order as listed below.
2. Label each attachment; clearly identify each attachment as listed by the TDS # in the left-hand column below.
3. Use "NONE" vs. "N.A." Respond directly to information requested on the Transporter Data Sheet for inclusion in each attachment; if for example, you have no "Other Business Activities", indicate "none;" do not respond by indicating "N.A." * (particularly in sections indicated below).

Reference	Transporter Data Sheet (Attachments)	Description of Required Materials	Check when complete
See 310 CMR 30.807 for who must sign such documents	TDS 02-1	Authority To Sign License Application: Attach a statement on company letterhead that designates an authorized representative of your company to sign application forms and other official documents.	<input type="checkbox"/>
See 310 CMR 30.805(1) for DTE certification	TDS 02-2	Department of Telecommunications & Energy (DTE) Certification: Complete the enclosed DTE Transporter Division approval form (Form BWP HW 06-159B) requesting that DTE certify your compliance with the motor carrier requirements of MGL Chapter 159B. Submit one copy of the form to DEP as Attachment TDS 02-2. Send the original to the DTE at the address shown on the form. Blank form provided.	<input type="checkbox"/>
See 310 CMR 30.803(8) training program requirements and 310 CMR 30.409(1) - (4) what employees must know	TDS 02-3	Training Program Documentation: (1) Provide a certification on company letterhead that states that all drivers and those accompanying drivers are trained and tested in compliance with 49 CFR part 172, Subpart H and 49 CFR section 177.800. (2) Include a notation in your TDS 02-3 response indicating that drivers receive training in the list of notification numbers to call in the event of a spill or release, and that those numbers are listed in the Emergency Response Guide submitted in Attachment TDS 02-13, Spill Cleanup Equipment and Procedures.	<input type="checkbox"/>
See 310 CMR 30.803(9) for details of who must be listed.	TDS 02-4	Officer & Director Information: Provide the names, residential and business addresses, and dates of birth of all officers, directors, and partners of the applicant and of all individuals who hold greater than 5% equity in (or liability of) the applicant.	<input type="checkbox"/>
See 310 CMR 30.803(10) for details of what must be reported.	TDS 02-5	Other Business Activities: Attach a list of other hazardous waste management businesses owned by any officer, director, partner, and persons named in Attachment TDS 02-4 above. *	<input type="checkbox"/>



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G. Transporter Data Sheet (cont.)

Reference	Transporter Data Sheet (Attachments)	Description of Required Materials	Check When complete
See CMR 30.803(11) and 30.813(4-5) for details of what must be reported	TDS 02-6	Past /Pending Legal or Administrative Actions: Attach a list and explanation of <i>all</i> past/pending legal or administrative actions citing a violation of any statute, regulation, or court order related to hazardous waste management or transportation (or other related environmental or public health statutes/regulations) by the licensee/applicant, officer, director, trustee, partner, key staff individual (i.e., manager or supervisor) or persons named in Attachment TDS 02-4 above. "Legal or Administrative Action" refers to criminal convictions, criminal indictments, civil penalties, civic actions in court, notices of violation, administrative orders, and license revocations/suspensions (issued by any State or Federal authority). Failure to disclose these actions may result in the denial of your application, the revocation of your license, and/or the assessment of penalties.	<input type="checkbox"/>
See 310 CMR 30.803(12) for details of what must be reported.	TDS 02-7	Past/Pending Civil Suits: Disclose a list of all past or pending civil suits (by docket number and court) related to hazardous waste management or transportation operations or activities by the licensee/applicant. *	<input type="checkbox"/>
See 310 CMR 30.826 for a description of Corporate Records requirements.	TDS 02-8	Corporate Records: In this attachment, submit copies of all records and documents that must be submitted to the Massachusetts Secretary of State. If the applicant is a Massachusetts corporation, attach a copy of the applicant's Articles of Organization and the latest Massachusetts Corporation Annual Report. If the applicant is an out-of-state corporation and has an office in Massachusetts, attach a copy of the applicant's Foreign Corporation Certificate and latest Foreign Annual Report. If the applicant is an out-of-state corporation and does not have an office in Massachusetts, attach a copy of the applicant's Articles of Organization. If the applicant is not a corporation, specify the applicant's business organization, i.e., sole proprietorship, partnership, or other. Indicate the applicant's status as a public, private, or other entity.	<input type="checkbox"/>
See 310 CMR 30.410 for detailed insurance requirements and 310 CMR 30.805(4) requiring that applicants submit this information.	TDS 02-9	Liability Insurance: Submit two insurance documents: (1) a Certificate of Insurance that (a) shows a minimum coverage of \$1 million per accident, (b) names the Department of Environmental Protection as the certificate holder ("Massachusetts DEP, Bureau of Waste Prevention, Business Compliance Division,, One Winter Street, 9th Floor, Boston, MA 02108") and (c) provides for thirty (30) days notice of cancellation to the certificate holder; and, (2) A DOT Endorsement (Form MCS-90) completed by your insurance company. This form covers liability for accidents, including environmental restoration, bodily injury, and property damage. Please note that this form amends the automobile policy number on the certificate of insurance.	<input type="checkbox"/>



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G. Transporter Data Sheet (cont.)

Reference	Transporter Data Sheet (Attachments)	Description of Required Materials	Check When complete
See the following: 310 CMR 30.805(6) which requires that applicants submit this information; 310 CMR 30.413 describing actions that must be taken in transportation-related spills; and, 310 CMR 30.415 detailing required elements of an emergency procedures guide, including a list of equipment that must be carried on all vehicles.	TDS 02-10	Spill Cleanup Equipment and procedures: Submit a list of equipment carried on each vehicle, and your company's "Emergency Procedures Guide" which outlines step-by-step procedures to be followed by the driver in the event of an emergency or spill during transport. The Guide must include a list of who shall be notified after a release, how the spill or release shall be initially contained, and how required emergency equipment shall be used. Make sure your list of DEP emergency response numbers and regional office phone numbers is up-to-date. The statewide DEP emergency phone numbers are: <ul style="list-style-type: none">617-556-1133 (from the Boston area) and888-304-1133 (toll-free). The DEP regional office phone numbers staffed on weekdays during normal business hours are: <ul style="list-style-type: none">Central Regional Office (CERO): 508-792-7650Northeast Regional Office (NERO): 978-694-3200Southeast Regional Office (SERO): 508-946-2700Western Regional Office (WERO): 413-784-1100.	<input type="checkbox"/>
	TDS 02-11	Regulated Recyclable Materials Class B (4) Precious Metals Transporter License: Complete the applicant information in sections 1-6 and sign and date line 7 of the enclosed "Final License". If the application is approved, the license will be signed and the official copy returned to the applicant, with an effective date 21 days later (appeal period) as described on the license.	<input type="checkbox"/>

H. Certification

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this document and all attachments and based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including possible fines and imprisonment.

Print name

Authorized signature

Position/title

Date



Massachusetts Department of Environmental Protection
Bureau of Waste Prevention – Hazardous Materials – Hazardous Waste Program

Department of Telecommunications and Energy Approval

A. Facility Information

MAIL OR FAX THIS FORM TO:

Department of Telecommunications and Energy
Transportation Division
One South Station, 2nd Floor
Boston, Massachusetts 02110
Attn: Timothy Davis

Telephone: (617) 305-3773
Fax: (617) 478-2598

Dear Sir/Madam:

My firm is a carrier applying to the Massachusetts Department of Environmental Protection (DEP) for a license renewal to transport hazardous waste pursuant to Massachusetts General Law Chapter 21C and the Hazardous Waste Management Regulations (310 CMR 30.000).

As part of the licensing process, I must ask the Department of Telecommunications and Energy to send DEP either:

- A certification of compliance with the requirements of Massachusetts General Law chapter 159B (for for-hire carriers), OR
- A statement that the applicant is exempt from the requirements of MGL chapter 159B (for private carriers).

I have completed Items 1 and 2 below so that your office can provide DEP with either the required certification or an exemption statement. Please send me a copy of your correspondence with DEP on this matter.

Item 1: Enter Carrier Name and Principal Place of Business

Carrier Name

Principal Place of Business

Carrier Name

Principal Place of Business

Carrier Name

Principal Place of Business

Item 2: Certify the Type of Carrier:

I certify that _____ is a common carrier identified by:

Interstate ICC#

Intrastate DPU #

Name

Signature of Owner, Operator or Partner

Position/Title

Date

Telephone

**ENDORSEMENT FOR
MOTOR CARRIER POLICIES OF INSURANCE FOR PUBLIC LIABILITY
UNDER SECTIONS 29 AND 30 OF THE MOTOR CARRIER ACT OF 1980**

Form Approved
OMB No. 2125-0074

Issued to _____ of _____

Dated at _____ this _____ day of _____ 20 _____

Amending Policy Number _____ Effective Date _____

Name of Insurance Company _____

Telephone Number _____ Countersigned by _____
Authorized Company Representative

The policy to which this endorsement is attached provides primary or excess insurance, as indicated by the "x", for the limits shown:

This insurance is primary and the company shall not be liable for amounts in excess of \$ _____ for each accident.

This insurance is excess and the company shall not be liable for amounts in excess of \$ _____ for each accident.

in excess of the underlying limit of \$ _____ for each accident.

Whenever required by the Federal Highway Administration (FHWA) or the Interstate Commerce Commission (ICC), the company agrees to furnish the FHWA or the ICC a duplicate of said policy and all its endorsements. The company also agrees, upon telephone request by an authorized representative of the FHWA or the ICC, to verify that the policy is in force as of a particular date. The telephone number to call is:

Cancellation of this endorsement may be effected by the company or the insured by giving (1) thirty-five (35) days of notice in writing to the other party (said 35 days notice to commence from the date the notice is mailed, proof of mailing shall be sufficient proof of notice), and (2) if the insured is subject to the ICC's jurisdiction, by providing thirty (30) days notice to the ICC (said 30 days notice to commence from the date the notice is received by the ICC at its office in Washington, D.C.).

DEFINITIONS AS USED IN THIS ENDORSEMENT

ACCIDENT includes continuous or repeated exposure to conditions, which results in bodily injury, property damage, or environmental damage, which the insured neither expected nor intended.

MOTOR VEHICLE means a land vehicle, machine, truck, tractor, trailer, or semitrailer propelled or drawn by mechanical power and used on a highway for transporting property, or any combination thereof.

BODILY INJURY means injury to the body, sickness, or disease to any person, including death resulting from any of these.

ENVIRONMENTAL RESTORATION means restitution for the loss,

damage, or destruction of natural resources arising out of the accidental discharge, dispersal, release or escape into or upon the land, atmosphere, watercourse, or body of water, of any commodity transported by a motor carrier. This shall include the cost of removal and the cost of necessary measures taken to minimize or mitigate damage to human health, the natural environment, fish, shellfish, and wildlife.

PROPERTY DAMAGE means damage to or loss of use of tangible property.

PUBLIC LIABILITY means liability for bodily injury, property damage, and environmental restoration.

**ENDORSEMENT FOR
MOTOR CARRIER POLICIES OF INSURANCE FOR PUBLIC LIABILITY
UNDER SECTIONS 29 AND 30 OF THE MOTOR CARRIER ACT OF 1980**

Form Approved
OMB No. 2125-0074

The insurance policy to which this endorsement is attached provides automobile liability insurance and is amended to assure compliance by the insured, within the limits stated herein, as a motor carrier of property, with Sections 29 and 30 of the Motor Carrier Act of 1980 and the rules and regulations of the Federal Highway Administration (FHWA) and the Interstate Commerce Commission (ICC).

In consideration of the premium stated in the policy to which this endorsement is attached, the insurer (the company) agrees to pay, within the limits of liability described herein, any final judgement recovered against the insured for public liability resulting from negligence in the operation, maintenance or use of motor vehicles subject to the financial responsibility requirements of Sections 29 and 30 of the Motor Carrier Act of 1980 regardless of whether or not each motor vehicle is specifically described in the policy and whether or not such negligence occurs on any route or in any territory authorized to be served by the insured or elsewhere. Such insurance as is afforded, for public liability, does not apply to injury to or death of the insured's employees while engaged in the course of their employment, or property transported by the insured, designated as cargo. It is understood and agreed that no condition, provision, stipulation, or limitation contained in the policy, this endorsement, or

any other endorsement thereon, or violation thereof, shall relieve the company from liability or from the payment of any final judgement, within the limits of liability herein described, irrespective of the financial condition, insolvency or bankruptcy of the insured. However, all terms, conditions, and limitations in the policy to which the endorsement is attached shall remain in full force and effect as binding between the insured and the company. The insured agrees to reimburse the company for any payment made by the company on account of any accident, claim, or suit involving a breach of the terms of the policy, and for any payment that the company would not have been obligated to make under the provisions of the policy except for the agreement contained in this endorsement.

It is further understood and agreed that, upon failure of the company to pay any final judgement recovered against the insured as provided herein, the judgement creditor may maintain an action in any court of competent jurisdiction against the company to compel such payment.

The limits of the company's liability for the amounts prescribed in this endorsement apply separately, to each accident, and any payment under the policy because of any one accident shall not operate to reduce the liability of the company for the payment of final judgements resulting from any other accident.

Any other endorsement thereon, or violation thereof, shall relieve the company from liability or from the payment of any final judgement, within the limits of liability herein described, irrespective of the financial condition, insolvency or bankruptcy of the insured. However, all terms, conditions, and limitations in the policy to which the endorsement is attached shall remain in full force and effect as binding between the insured and the company. The insured agrees to reimburse the company for any payment made by the company on account of any accident, claim, or suit involving a breach of the terms of the policy, and for any payment that the company would not have been obligated to make under the provisions of the policy except for the agreement contained in this endorsement.

It is further understood and agreed that, upon failure of the company to pay any final judgement recovered against the insured as provided herein, the judgement creditor may maintain an action in any court of competent jurisdiction against the company to compel such payment.

The limits of the company's liability for the amounts prescribed in this endorsement apply separately, to each accident, and any payment under the policy because of any one accident shall not operate to reduce the liability of the company for the payment of final judgements resulting from any other accident.

**ENDORSEMENT FOR
MOTOR CARRIER POLICIES OF INSURANCE FOR PUBLIC LIABILITY
UNDER SECTIONS 29 AND 30 OF THE MOTOR CARRIER ACT OF 1980**

Form Approved
OMB No. 2125-0074

Schedule of Limits

Public Liability

	Type of Carriage	Commodity Transported	Minimum Insurance
1.	For-hire (in interstate or foreign commerce).	Property (nonhazardous)	\$ 750,000
2.	For-hire and Private (in interstate, foreign, or intrastate commerce).	Hazardous substances transported in cargo tanks, or hopper-type vehicles with capacities in excess of 3,500 water gallons; or in bulk class A or B explosives, poison gas (Poison A), liquefied compressed gas, or compressed gas; or highway route controlled quantity radioactive materials.	5,000,000
3.	For-hire and Private in interstate commerce: in any quantity) or (in intrastate commerce: in bulk only).	Oil listed in 49 CFR 172.101, hazardous waste, hazardous materials and hazardous substances defined in 49 CFR 171.8 and listed in 49 CFR 172.101, but not mentioned in (2) above or (4) below.	1,000,000
4.	For-hire and Private (in interstate or foreign commerce).	Any quantity of Class A or B explosives, any quantity of poison gas (Poison A), or highway route controlled quantity radioactive materials.	5,000,000

Note: The type of carriage listed under (1), (2), and (3) apply to vehicles with a gross vehicle weight rating of 10,000 pounds or more. The type of carriage listed under number (4) applies to all vehicles with gross vehicle weight rating of less than 10,000 pounds.

Schedule of Limits

Public Liability

For-hire motor carriers of passengers operating in interstate or foreign commerce

	Vehicle Seating Capacity	Minimum Insurance
(1)	Any vehicle with a seating capacity of 16 passengers or more.	\$ 5,000,000
(2)	Any vehicle with a seating capacity of 15 passengers or less.	1,500,000



Massachusetts Department of Environmental Protection
Bureau of Waste Prevention – Business Compliance Division

Tax Certification Form

As part of the Hazardous Waste or Precious Metals Class (B4) Regulated Recyclable Material Transporter Application process, you are required to provide the tax certification described below. Please have your authorized company representative provide the required certification, and return the form to the Bureau of Waste Prevention, Business Compliance Division.

The person providing such certification must be a corporate officer, i.e., president, secretary, treasurer, or vice president of the corporation in charge of a business function for the corporation. If the applicant is a partnership, the certification must be signed by a general partner. If the applicant is a sole proprietorship, the certification must be signed by the proprietor. All such certifications will become part of the application.

CERTIFICATION

Under penalties of perjury, I certify, as required by General Laws, Chapter 62C, Section 49A, that:

Name of Corporation, Partnership, or Sole Proprietorship

has complied with all laws of the Commonwealth of Massachusetts relating to taxes.

Signature

Title

Date